

**SOME RESULTS ON CONFIGURATIONS**

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Reprinted from

**THE JOURNAL OF THE AUSTRALIAN  
MATHEMATICAL SOCIETY**

Volume XII – Part 3 – p.p. 378–384

1971

## SOME RESULTS ON CONFIGURATIONS

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(Received 14 May 1969; revised 23 September 1969)

Communicated by B. Mond

A  $(v, k, \lambda)$  configuration is conjectured to exist for every  $v, k$  and  $\lambda$  satisfying  $\lambda(v-1) = k(k-1)$

and

$k - \lambda$  is a square if  $v$  is even,

$x^2 = (k - \lambda)y^2 + (-1)^{(v-1)/2}\lambda z^2$  has a solution in integers  $x, y$  and  $z$  not all zero for  $v$  odd.

See Ryser [5, p. 111] for further discussion.

Necessary conditions for the existence of  $(b, v, r, k, \lambda)$  configurations are that

$$\begin{aligned} bk &= vr \\ r(k-1) &= \lambda(v-1). \end{aligned}$$

We write  $I$  for the identity matrix and  $J$  for the matrix with every element  $+1$ . In the case of block matrices,  $(X)_{ij}$  means the matrix whose  $(i, j)$ th block is  $X$ ; for example,  $(T^{i-j})_{ij}$  is the matrix whose  $(i, j)$ th block is  $T^{i-j}$ . We define the *Kronecker product* of two matrices  $A = (a_{ij})$  of order  $m \times n$  and  $B$  of any order as the  $m \times n$  block matrix

$$A \times B = (a_{ij}B)_{ij}.$$

**THEOREM 1.** *There exists a  $(q(q^2+2), q(q+1), q)$  configuration whenever  $q$  is a prime.*

Takeuchi [7] and Ahrens and Szekeres [1] have proven that Theorem 1 holds for all prime powers  $q$ . Our method can be extended to  $q = 2^2, 2^3, 2^4, 3^2, 3^3$  or  $7^3$ . We include Theorem 1 as our method is entirely different to the others' and closely connected to the proof of Theorem 2.

**THEOREM 2.** *A  $(q(k^2+\lambda), qk, k^2+\lambda, k, \lambda)$  configuration exists whenever a  $(q, k, \lambda)$  configuration exists and  $q$  is a prime power.*

**THEOREM 3.** *If there exists a matrix  $N$  of odd order  $v-1$  with zero diagonal and every other element  $+1$  or  $-1$ , such that  $NJ = JN = 0$  and*

$$NN^T = (v-1)I_{v-1} - J_{v-1},$$

*then there is a  $(2(v-1), v, v-1, \frac{1}{2}v, \frac{1}{2}(v-2))$  configuration.*

COROLLARY 4: *If  $v$  is the order of a skew-Hadamard or  $n$ -type matrix (see [8] for definitions) then there is a  $(2(v-1), v, v-1, \frac{1}{2}v, \frac{1}{2}(v-2))$  configuration.*

**1. Preliminary remark**

We require that there exist  $(0, 1)$  matrices  $R_i, 0 \leq i \leq q-1, Q$  of order  $q^2$  and  $\bar{Q}$  which is  $kq \times q^2, k$  an integer less than  $q$ , which together with  $P$  (defined in (iv) below) satisfy the following conditions

$$(1) \left\{ \begin{array}{l} \text{(i)} \quad PR_j^T = J \times J \\ \text{(ii)} \quad R_i R_j^T = J \times J \quad i \neq j \\ \text{(iii)} \quad \sum_{i=0}^{q-1} R_i R_i^T = q^2 I \times I + q(J-I) \times J \\ \text{(iv)} \quad P = I \times J, \quad PP^T = qI \times J \\ \text{(v)} \quad QQ^T = qI \times I + (J-I) \times J \\ \text{(vi)} \quad \bar{Q}\bar{Q}^T = qI_{kq} + (J_k - I_k) \times J \\ \text{(vii)} \quad J_{kq}\bar{Q} = k\bar{J} \\ \text{(viii)} \quad \bar{Q}J_{q^2} = q\bar{J}. \end{array} \right.$$

In formula (1), unless subscripted otherwise,  $I$  and  $J$  are of order  $q$  and  $\bar{J}$  is the  $kq \times q^2$  matrix with every element  $+1$ .

We will show in § 3 some cases where these conditions are satisfied.

**2. Constructions**

LEMMA 5. *If  $P$ , a  $(0, 1)$  matrix, is defined as in (1, iv), and if  $(0, 1)$  matrices  $R_i, 0 \leq i \leq q-1$  satisfying conditions (1, i, ii, iii) exist then there exists a  $(q^2(q+2), q(q+1), q)$  configuration.*

PROOF. It is easily seen that this triplet satisfies the necessary conditions for  $(v, k, \lambda)$  configurations.

Let  $S$  be the  $q^2(q+2)$  block matrix given by

$$S = \begin{bmatrix} 0 & P & R_0 & R_1 & \cdots & R_{q-3} & R_{q-2} & R_{q-1} \\ R_{q-1} & 0 & P & R_0 & \cdots & R_{q-4} & R_{q-3} & R_{q-2} \\ \vdots & \vdots & & & & & \vdots & \\ R_0 & R_1 & R_2 & R_3 & \cdots & R_{q-1} & 0 & P \\ P & R_0 & R_1 & R_2 & \cdots & R_{q-2} & R_{q-1} & 0 \end{bmatrix}$$

then

$$\begin{aligned} SS^T &= I_{q+2} \times \{PP^T + \sum_{i=0}^{q-1} R_i R_i^T\} + (J_{q+2} - I_{q+2}) \times qJ \times J \\ &= q^2 I_r + qJ_r, \end{aligned}$$

where  $r = q^2(q+2)$ .

Every element of  $s$  is 0 or 1 so  $s$  is the incidence matrix of a  $(q^2(q+2), q(q+1), q)$  configuration.

LEMMA 6. *If there exists a  $(0, 1)$  matrix  $\bar{Q}$  satisfying the conditions (1, vi, vii, viii) and a  $(q, k, \lambda)$  configuration exists then there exists a  $(q(k^2 + \lambda), qk, k^2 + \lambda, k, \lambda)$  configuration.*

PROOF. A  $(q, k, \lambda)$  configuration exists, so

$$\lambda(q-1) = k(k-1);$$

hence it is easily verified that the five numbers satisfy the necessary conditions for  $(b, v, r, k, \lambda)$  configurations.

Let  $V$  be the incidence matrix of the  $(q, k, \lambda)$  configuration. Then  $A$  defined by

$$A^T = [I_k \times V, \bar{Q}, \bar{Q}, \dots, \bar{Q}]$$

( $\bar{Q}$  occurring  $\lambda$  times), has  $k$  non-zero elements in every row and  $\lambda q + k = k^2 + \lambda$  non-zero elements in each column. Now

$$\begin{aligned} A^T A &= I_k \times VV^T + \lambda \bar{Q} \bar{Q}^T \\ &= (k - \lambda + \lambda q) I_{kq} + \lambda J_{kq} \\ &= k^2 I_{qk} + \lambda J_{kq}; \end{aligned}$$

so  $A$  is the incidence matrix of the required configuration.

PROOF OF THEOREM 3. Since  $N$  has zero diagonal and every other element  $+1$  or  $-1$ ,  $C$  and  $D$  defined (with  $I$  and  $J$  of order  $v-1$ ) by

$$C = \frac{1}{2}(N + I + J)$$

$$D = \frac{1}{2}(N - I + J)$$

are  $(0, 1)$  matrices. Now

$$CC^T + DD^T = \frac{1}{2}(NN^T + I + (v-1)J) = \frac{1}{2}vI + \frac{1}{2}(v-2)J$$

and

$$JC = \frac{1}{2}vJ = CJ$$

$$JD = \frac{1}{2}(v-2)J = DJ.$$

We define  $\omega_v$ ,  $\omega_b$  and  $e$  to be the vectors of  $v$ ,  $b$  and  $(v-1)$   $l$ 's respectively and  $A^T$  by

$$A^T = \begin{bmatrix} D & C \\ e & 0 \end{bmatrix}.$$

$A$  is  $2(v-1) \times v$ , and

$$\begin{aligned} \omega_v A^T &= \frac{1}{2} v \omega_b, \quad A^T \omega_b^T = (v-1) \omega_v^T, \\ A^T A &= \begin{bmatrix} D & C \\ e & 0 \end{bmatrix} \begin{bmatrix} D^T & e^T \\ C^T & 0 \end{bmatrix} \\ &= \begin{bmatrix} DD^T + CC^T & \frac{1}{2}(v-2)e^T \\ \frac{1}{2}(v-2)e & v-1 \end{bmatrix} \\ &= \frac{v}{2} I_v + \frac{v-2}{2} J_v. \end{aligned}$$

So  $A$  is the incidence matrix of a  $(2(v-1), v, v-1, \frac{1}{2}v, \frac{1}{2}(v-2))$  configuration.

### 3. Matrices satisfying condition (1)

We shall show that (1) can be satisfied for all primes  $q$  and that matrices  $Q$  and  $\bar{Q}$  can be found for  $q$  any prime power. These facts together with lemmas 5 and 6 complete the proofs of Theorems 1 and 2.

In this section  $T$  will be used for the circulant matrix of order  $q$  given by

$$(2) \quad T = \begin{bmatrix} 0 & 1 & 0 & \cdots & 0 & 0 & 0 \\ 0 & 0 & 1 & \cdots & 0 & 0 & 0 \\ \vdots & & & & \vdots & & \\ 0 & 0 & 0 & \cdots & 0 & 0 & 1 \\ 1 & 0 & 0 & \cdots & 0 & 0 & 0 \end{bmatrix}.$$

#### 3.1 The case of $q$ prime

Choose  $q$  block matrices  $R_i$  of order  $q^2$ ,  $0 \leq i \leq q-1$ , thus

$$R_i = \begin{bmatrix} I & T^i & T^{2i} & \cdots & T^{(q-1)i} \\ T^{(q-1)i} & I & T^i & \cdots & T^{(q-2)i} \\ \vdots & & & & \vdots \\ T^i & T^{2i} & T^{3i} & \cdots & I \end{bmatrix} = (T^{(m-s)i})_{sm}$$

and let

$$Q = \begin{bmatrix} I & I & I & \cdots & I \\ I & T & T^2 & \cdots & T^{q-1} \\ I & T^2 & T^{2 \cdot 2} & \cdots & T^{(q-1)2} \\ \vdots & & & & \vdots \\ I & T^{q-1} & T^{2(q-1)} & \cdots & T^{(q-1)(q-1)} \end{bmatrix} = (T^{(i-1)(j-1)})_{ij}$$

and

$$\bar{Q} = \begin{bmatrix} I & I & I & \cdots & I \\ I & T & T^2 & & T^{q-1} \\ I & T^2 & T^{2 \cdot 2} & \cdots & T^{(q-1)2} \\ \vdots & & & & \vdots \\ I & T^{k-1} & T^{2(k-1)} & \cdots & T^{(q-1)(k-1)} \end{bmatrix}.$$

We now verify that these matrices satisfy the conditions (1). Note that  $JT^i = J$  for all  $i$ , so (i), (vii) and (viii) are immediate.

$$\begin{aligned} \text{(ii)} \quad R_i R_j^T &= \left( \sum_{m=0}^{q-1} T^{(m-s)i} T^{(n-m)j} \right)_{s,n} \\ &= \left( \sum_{m=0}^{q-1} T^{m(i-j) + nj - si} \right)_{s,n} \\ &= \left( \sum_{r=0}^{q-1} T^r \right)_{s,n} = (J)_{s,n} = J \times J \quad \text{for } i \neq j. \end{aligned}$$

$$\begin{aligned} \text{(iii)} \quad R_i R_i^T &= \left( \sum_{m=0}^{q-1} T^{(m-s)i} T^{(n-m)i} \right)_{s,n} \\ &= (qT^{(n-s)i})_{s,n} \\ &= qR_i; \end{aligned}$$

$$\sum_{i=0}^{q-1} R_i = \begin{bmatrix} qI & J & \cdots & J \\ J & qI & \cdots & J \\ \vdots & & & \vdots \\ J & J & \cdots & qI \end{bmatrix} = qI \times I + (J-I) \times J,$$

so the result follows.

$$\begin{aligned} \text{(v)} \quad QQ^T &= \left( \sum_{m=1}^q T^{(i-1)(m-1)} T^{-(m-1)(j-1)} \right)_{ij} \\ &= \left( \sum_{m=1}^q T^{(m-1)(i-j)} \right)_{ij} \end{aligned}$$

then if  $i = j$  we have  $\sum_{m=1}^q I = qI$ , and if  $i \neq j$ , we have  $\sum_{m=1}^q T^{(m-1)(i-j)} = J$ , which gives the result.

(vi) This follows since we have chosen  $\bar{Q}$  as the first  $kq$  rows of  $Q$ .

### 3.2 The case of $q$ a prime power

In this case, unless stated otherwise,  $I, J$  are of order  $q$ .

It is known that a  $(q^2 + q + 1, q + 1, 1)$  configuration exists whenever  $q$  is a

prime power. If we form the incidence matrix of this configuration then we may rearrange its rows and columns until the following matrix is obtained:

$$A = \begin{bmatrix} 1 & e & 0 \\ e^T & 0 & I \times e \\ 0 & I \times e^T & N \end{bmatrix}$$

where  $e = [1, 1, \dots, 1]$  is of size  $1 \times q$  and  $N$  is of size  $p^2$ .

Now  $AA^T = pI_r + J_r$ , where  $r = p^2 + p + 1$ , and

$$AA^T = \begin{bmatrix} q+1 & e & e \times e \\ e^T & qI+J & (I \times e)N^T \\ e^T \times e^T & N(I \times e^T) & I \times J + NN^T \end{bmatrix}$$

so

- (a)  $N$  is of order  $q^2$ ;
- (b)  $NN^T = qI \times I + J \times J - I \times J = qI \times I + (J - I) \times J$ ;
- (c)  $N(I \times e^T) = J'$  where  $J'$  is of size  $q^2 \times q$ .

This last condition implies that if  $N$  is partitioned into  $q^2$  block matrices  $N_i$  then each block matrix  $N_i$  has exactly one element in each row and column. Now rearrange the columns of  $N$  keeping the first  $q+1$  rows of  $A$  unaltered until the first row of block matrices in the partitioned  $N$  are all  $I_q$  and similarly alter the rows of  $N$  keeping the first  $q+1$  columns of  $A$  unaltered until the first column of block matrices in the partitioned  $N$  are all  $I_q$ . Then this new matrix obtained from  $N$  satisfies all the conditions for the matrix  $Q$ . We again choose  $\bar{Q}$  to consist of the first  $kq$  rows of  $Q$ .

### 3.3 The case of $q$ certain prime powers

We have not been able to derive enough information from the matrix  $N$  to ensure the existence of the matrices  $R_i$  when  $q$  is a general prime power. However, as noted in the introduction, we can construct these matrices for the following value of  $q$ :

$$2^2, 2^3, 2^4, 3^2, 3^3, 7^2.$$

The methods used do not generalize.

## 4. Remarks on numerical results

The block designs given by Theorem 2 with  $k > 4$  all have  $r > 20$ , and are outside the range of the tables in [2], [3], [4] and [6]. Consequently it is hard to check whether individual designs are new. We observe, however, that the existence of a  $(16, 6, 2)$  configuration yields a design with parameters  $(608, 96, 38, 6, 2)$ ; this is the multiple by 2 of the design  $(304, 96, 19, 6, 1)$  which is listed as unknown

by Sprott [6]. Also the  $(11, 6, 3)$  configuration yields a  $(429, 66, 39, 6, 3)$  configuration, which is a multiple by 3 of a  $(143, 66, 13, 6, 1)$  design. The solution of the latter design in [4] does not appear to have arisen as one of a series of designs. We note in passing that Hall [3] mistakenly lists  $(143, 66, 13, 6, 1)$  as 'solution unknown'.

Theorem 3 yields a  $(34, 18, 17, 9, 8)$  configuration, which was previously unknown according to [6]. It also gives a  $(26, 14, 13, 7, 6)$  configuration, which was already known but was completely omitted from Hall's list, as well as a number of apparently new configurations with  $r > 20$ .

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